

IFSL Harewood UK Enhanced Income Fund IFSL Harewood US Enhanced Income Fund OEIC Application Form

Please complete using BLOCK CAPITALS. Make your cheques payable to: **IFSL** and return the completed form together with your cheque to:

IFSL (Harewood), Marlborough House, 59 Chorley New Road, Bolton BL1 4QP

Section 1 – Personal Details

Please fill in the details of the first named holder on the account. If there are additional holders, please include these details in Section 5.

Title (Mr/Mrs/Miss/Ms/Other)	<input type="text"/>
Forename(s)	<input type="text"/>
Surname	<input type="text"/>
Designation*	<input type="text"/> (if applicable – must be shown as initials and or numeric)
Permanent residential address	<input type="text"/> <input type="text"/>
Postcode	<input type="text"/>
Telephone number	<input type="text"/>
Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Existing account number (if any)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

*Shares may not be registered in the name of a minor but should be registered in the name of an adult and designated with a child's name – e.g. a/c James Green – designation TG.

Section 2 – Financial Adviser details

Adviser's Stamp	<input type="text"/>	Agent Name	<input type="text"/>
		Agency Number	<input type="text"/>
		FCA Number	<input type="text"/>
			Advice given <input type="checkbox"/>

By completing this section you are agreeing to the Terms and Conditions outlined in the IFSL Terms of Business for Intermediaries. A copy of this document can be found in the Supplementary Information Document which is available from www.ifslfunds.com

N.B. Identity checks will be completed electronically. However, where the electronic check is unsuccessful then copies of documentation will be requested.

Section 3 – Investment Details

Fund	Share Type	Lump Sum
IFSL Harewood UK Enhanced Income Fund	Class A – Income (Retail)	£ <input type="text"/> (min £1,000)
IFSL Harewood UK Enhanced Income Fund	Class B – Income (Institutional)	£ <input type="text"/> (min £1,000)
IFSL Harewood US Enhanced Income Fund	Class A – Income (Institutional)	£ <input type="text"/> (min £1,000)

Section 4 – Income Details – This section must be completed

Please note income payments are made by direct credit to your bank/buildings society and cheques cannot be sent. Where bank details differ from that used to open this account please provide us with a void cheque or paying-in slip to enable us to verify your bank account details.

Name of bank/building society		
Address		
	Postcode	
Name of account holder		
Account number		Sort Code
Signature		Date

Section 5 – Additional Holders

Please complete this section if you wish there to be additional holders on the account. Please note that correspondence will be sent to the first named holder only.

Title	Surname	Forenames	Address (if different from the first named holder)	Date of Birth*

**Please note holders must be at least 18 years of age.*

Section 6 – Beneficial Ownership

This section must be completed. If there are no beneficial owners the correct box must be ticked. If this section has not been completed properly we will reject the application form and request that it is completed fully.

Are you the beneficial owner* of this investment? Yes No
(If you answer "no" you are required to provide the full details of the beneficial owner(s) in the box below).

First Account Holder/Name		
Address		
	Postcode	Date of Birth
Signature		Date

Second Account Holder/Name		
Address		
	Postcode	Date of Birth
Signature		Date

Third Account Holder/Name		
Address		
	Postcode	Date of Birth
Signature		Date

Fourth Account Holder/Name	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>	Date of Birth	<input type="text"/>
Signature	<input type="text"/>	Date	<input type="text"/>

**Applicants for direct investments are required to disclose circumstances where an individual or organisation other than the investor(s) named on the application form ultimately owns or controls the investment being made. You are therefore, required to make the relevant disclosure on the application form and where necessary provide the full name of any additional parties who have beneficial ownership of this investment. There are additional requirements for bodies corporate, partnerships, trusts, fund administrators/distributors and estates of deceased persons. Please contact your financial or professional adviser if you are in any doubt about your status.*

Section 7 – Key Investor Information Document

This section must be completed in order for us to be able to process your request.

All investors must, before they invest, be provided with the most up-to-date Key Investor Information Document (KIID) and Supplementary Information Document (SID) for the specific shareclass(es) of the fund(s) in which they wish to invest. Copies of these documents are available from www.ifslfunds.com or can be requested as a paper copy by calling 0845 123 1084 or writing to IFSL, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP.

Please tick this box to confirm that you have been provided with a copy of the KIID and SID

Section 8 – Declaration

Please sign the declaration below. You should ensure that you have been provided with a copy of the KIID and SID and that you have had the opportunity to read this and ask for more information prior to signing the declaration below. Where there are additional holders, all holders must sign.

I/We declare that:

- I/We have been provided with a copy of the KIID and SID;
- I/We are aged 18 or over;
- I/We will inform the administrator in writing immediately of any changes in my/our circumstances;
- I/We understand that you may require additional information from me/us in accordance with the money laundering regulations and I acknowledge that electronic data sources may be used to check my identity under the money laundering regulations.
- Details of all beneficial owners, where they exist, have been included in the appropriate section of the application form.

The information supplied in this application is correct to the best of my/our knowledge and belief.

First Applicant Signature	<input type="text"/>	Date	<input type="text"/>
Second Applicant Signature (if applicable)	<input type="text"/>	Date	<input type="text"/>
Third Applicant Signature (if applicable)	<input type="text"/>	Date	<input type="text"/>
Fourth Applicant Signature (if applicable)	<input type="text"/>	Date	<input type="text"/>

If you have any questions please call the Harewood Hotline on +44 (0) 207 595 1405 or email harewoodsolutions@bnpparibas.com.

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